



## Corporate Compliance Statement

Federal and State agencies are now requiring that you have knowledge of, and follow, certain compliance requirements. As part of new KYC (Know Your Client) laws recently passed by several Federal agencies we are requesting the information below. Please check one of the following and sign and date the bottom of the form.

We currently have an in-house compliance program that we use to comply with all Local, State, and Federal Laws and Regulations.

Compliance Officer's Name \_\_\_\_\_

Compliance Officer's Phone Number \_\_\_\_\_

We currently DO NOT have a compliance program, but follow all Local, State, and Federal Laws and Regulations related to our industry.

We are located outside of the United States and DO NOT have to implement a compliance program.

Company Name \_\_\_\_\_

Print Your Name \_\_\_\_\_

Your Signature Here \_\_\_\_\_

Today's Date \_\_\_\_/\_\_\_\_/\_\_\_\_  
Month Day Year